IFN # 3837

**PATENT** 

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Box 1450, Alexandria, VA 22313-1450 on September 12, 2005

William R. Allen, Reg. No. 48,389

Date

Applicants:

Stern et al.

Serial No:

10/072,610

Filing Date:

February 6, 2002

Art Unit:

3837

Examiner:

Peter J. Vrettakos

Confirmation No.:

2778

Title:

HANDPIECE FOR TREATMENT OF TISSUE

Atty Docket:

39238-0747 (THERM-06)

Cincinnati, OH

September 12, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §\$1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

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The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

A check in the amount of \$180.00 is enclosed for this submission. Applicants do not believe that any further fees are due in connection with this communication; however, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.

Respectfully submitted, WOOD, HERRON & EVANS, L.L.P.

By: William R. Allen

William R. Allen Reg. No. 48,389

2700 Carew Tower Cincinnati, OH 45202 (513) 241-2324

Sheet 1 (A) of 3 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE SERIAL NO. ATTY. DOCKET NO. 10/072.610 PATENT AND TRADEMARK OFFICE THERM/06 INFORMATION DISCLOSURE APPLICANT STATEMENT BY APPLICANT Roger A. Stern, et al. **GROUP FILING DATE** (Use several sheets if necessary) February 6, 2002 3837 **U.S. PATENT DOCUMENTS** FILING DATE **ISSUE** EXAMINER IF APPROPRIATE **SUBCLASS CLASS** PATENT NUMBER **PATENTEE** INITIAL DATE 6 0 8 3 8/26/1997 424 400 5/5/1995 A.A 6 6 Knowlton 9 9 2 7/6/1999 Knowlton 607 102 8/19/1997 A.B 5 1 1 9 101 10/28/1997 9 4 0 1 9/7/1999 607 A.C 8 Knowlton 1/5/1996 2 7 5 6/5/2001 607 99 A.D 6 4 3 Knowlton 607 101 8/23/1999 A.E 6 3 1 0 9 n 10/30/2001 Knowlton 6/30/1999 6 3 5 0 2 7 6 2/26/2002 Knowlton 607 104 A.F A.G 6 3 7 7 8 5 4/23/2002 Knowlton 607 101 12/15/1997 1/6/1998 6 3 7 7 8 5 5 4/23/2002 Knowlton 607 101 A.H 1/6/1998 A.I 6 3 8 1 4 9 8 4/30/2002 Knowlton 607 101 1/6/1998 4 9 4/30/3003 Knowlton 607 101 A.J 6 3 8 1 7 3 3 5/14/2002 Knowlton 424 400 4/17/1996 A.K 6 8 7 8 0 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION **PUBLICATION COUNTRY OR** DOCUMENT PATENT OFFICE **CLASS SUBCLASS** (YES/NO) NUMBER DATE **PCT** N/A N/A N/A N/A A.L Application No. PCT/US04/O9256 A.M A.N A.O

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R Knowlton et al., <u>United States Patent Application Publication No. US 2004/O002704</u>, Publication Date: 1/1/2004

A.S Knowlton et al., <u>United States Patent Application Publication No. US 2004/O000316</u>, Publication Date: 1/1/2004

A.T Knowlton et al., <u>United States Patent Application Publication No. US 2004/O030332</u>, Publication Date: 2/12/2004

EXAMINER

A.P A.Q

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Sheet 2 (B) of 3

U.S. DEPARTMENT OF COMMERCE SERIAL NO. SUBSTITUTE FORM PTO-1449 ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE THERM/06 10/072,610 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Roger A. Stern, et al. FILING DATE **GROUP** (Use several sheets if necessary) (37 CFR 1.98(b)) February 6, 2002 3837 U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE PATENT NUMBER **SUBCLASS** DATE **PATENTEE CLASS** INITIAL 6/11/2002 Knowlton 6/30/1999 B.A 4 0 5 0 9 0 607 102 B.B 6 4 1 3 2 5 5 7/2/2002 Stern 606 41 3/9/2000 2 5 9 7/30/2002 607 101 9/30/1997 B.C 6 4 1 2 Knowlton 8/6/2002 607 101 3/28/1997 B.D 6 4 3 0 4 4 6 Knowlton 5 2 9/17/2002 607 102 9/17/1999 B.E 6 4 3 0 2 Knowlton 12/20/2001 104 B.F 6 7 4 9 6 2 4 6/15/2004 Knowlton 607 B.G B.H B.I B.J B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION** COUNTRY OR **SUBCLASS** (YES/NO) PATENT OFFICE **CLASS** NUMBER DATE B.L B.M B.N B.O B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Stern et al., United States Patent Application Publication No. US 2004/O034346, Publication Date: 2/19/2004 B.R Knowlton et al., United States Patent Application Publication No. US 2003/O212393, Publication Date: 11/13/2003 B.S Knowlton et al., United States Patent Application Publication No. US 2004/O002705, Publication Date: 1/1/2004 B.T **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Sheet 3 (C) of 3

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